08-01789-cgm Doc 22007-11 Filed 07/22/22 Entered 07/22/22 12:04:29 Ex. 7 Pg 1 of 10

# EXHIBIT 7

Trade Date Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
8/17/1979 RESERVE OIL & GAS CO PFD CONV \$1.75	\$37.500	\$39.125	\$37.375	In Range	In Range
9/24/1979 AETNA LIFE & CAS CO PFD CONV \$2	\$75.500	No Trades	No Trades	No Trades	No Trades
9/24/1979 COOPER INDS INC PFD SER B CONV \$2.50 9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$208.500 \$117.000	No Trades \$118,000	No Trades \$118.000	No Trades Below	No Trades \$1.000
9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988 9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$117.000	\$118.000	\$118.000	In Range	In Range
9/25/1979 TENNECO CORP SUB DEB 6.250 10/01/1992	\$130.000	\$131.000	\$131.000	Below	\$1.000
9/25/1979 WHITE CONS INDS INC SUB DEB CONV 5.500 10/01/1992	\$108.500	\$111.000	\$109.000	Below	\$0.500
9/26/1979 LITTON INDS INC PART PREF CONV	\$50.000	\$52.000	\$52.000	Below	\$2.000
9/27/1979 LITTON INDS INC PART PREF CONV	\$49.750	No Trades	No Trades	No Trades	No Trades
9/27/1979 LITTON INDS INC PART PREF CONV 9/27/1979 LITTON INDS INC PART PREF CONV	\$50.000 \$50.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
9/27/1979 LITTON INDS INC PART PREF CONV	\$50.500	No Trades	No Trades	No Trades	No Trades
10/1/1979 BENDIX CORP PFD SER A CONV \$3	\$88.250	No Trades	No Trades	No Trades	No Trades
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$130.500	\$130.500	\$130.500	In Range	In Range
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$131.000	\$130.500	\$130.500	Above	\$0.500
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.000	\$35.750	\$35.750	Above	\$0.250
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60 10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.375 \$36.500	\$35.750 \$35.750	\$35.750 \$35.750	Above Above	\$0.625 \$0.750
10/2/1979 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$66.500	\$65.750	\$65.750	Above	\$0.750
10/2/1979 LUCKY STORES INC SUB DEB CONV 6.750 7/15/2000	\$117.000	\$116.750	\$116.750	Above	\$0.750
10/2/1979 SANTA FE INDS INC SUB DEB CONV 6.250 8/01/1998	\$157.000	\$160.000	\$157.000	In Range	In Range
10/4/1979 REYNOLDS R J INC PFD CONV \$2.25	\$74.750	\$76.750	\$74.375	In Range	In Range
10/9/1979 FOREMOST MCKESSON INC PFD SER A CONV \$1.80	\$40.750	No Trades	No Trades	No Trades	No Trade
10/10/1979 AMERICAN TEL & TELEG CO PFD CONV \$4	\$55.250	\$56.500	\$56.000	Below	\$0.750
10/10/1979 INTERNATIONAL TEL & TELEG CORP PFD SER N CONV \$2.25	\$32.125	\$32.250	\$32.250	Below	\$0.125
10/10/1979 TRAVELER'S CORP PFD CONV \$2.00 10/10/1979 WARNER COMMUNICATIONS INC PFD SER D CONV \$1.25	\$38.750 \$101.750	\$39.500 No Trades	\$39.500 No Trades	Below No Trades	\$0.750 No Trades
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$87.750	\$90.500	\$88.250	Below	\$0.500
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$89.000	\$90.500	\$88.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.000	\$130.500	\$129.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.125	\$130.500	\$129.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.250	\$130.500	\$129.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.500	\$130.500	\$129.250	In Range	In Range \$0.250
10/17/1979 ATLANTIC RICHFIELD CO PFD CONV \$2.80 10/19/1979 BUNKER RAMO CORP PFD CONV 1.50	\$87.750 \$24.000	\$88.750 \$24.000	\$88.000 \$23.500	Below In Range	In Range
10/19/1979 CHARTER CO WT EXP 09/01/1988	\$31.000	\$32.125	\$30.625	In Range	In Range
10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375	\$40.500	\$40.875	\$40.875	Below	\$0.375
10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375	\$40.750	\$40.875	\$40.875	Below	\$0.125
10/19/1979 RELIANCE GROUP INC PFD SER B CONV \$2.20	\$69.500	\$73.000	\$73.000	Below	\$3.500
10/19/1979 RIO GRANDE INDS INC PFD SER A CONV \$0.80	\$15.000	\$15.375	\$15.000	In Range	In Range
10/19/1979 TEXTRON INC PFD CONV \$2.08 10/19/1979 UAL INC PFD SER A CONV \$0.40	\$26.500 \$20.625	\$26.875 \$21.000	\$26.250 \$21.000	In Range Below	In Range \$0.37:
10/19/1979 UAL INC PFD SER A CONV \$0.40	\$20.023	\$21.000	\$21.000	In Range	In Range
10/22/1979 AMERICAN BRANDS INC PFD CONV \$1.70	\$28.750	\$28.625	\$28.625	Above	\$0.125
10/22/1979 INA CORP PFD SER C CONV \$1.90	\$23.250	\$23.500	\$23.500	Below	\$0.250
10/22/1979 TIME INC PFD SER B CONV \$1.5757	\$29.875	\$30.500	\$30.000	Below	\$0.125
10/22/1979 TIME INC PFD SER B CONV \$1.5757	\$30.125	\$30.500	\$30.000	In Range	In Range
10/22/1979 TRW INC PREF SER 1 CONV \$4.40	\$78.750	\$79.750	\$78.000	In Range	In Range
10/23/1979 CHAMPION INTL CORP PREF CONV \$1.20 10/24/1979 CITY INVESTING CO PREF SER B CONV \$2.00	\$22.875 \$25.125	\$22.750 \$25.000	\$22.500 \$24.500	Above Above	\$0.125 \$0.125
10/24/1979 SUN INC PFD CONV \$2.25	\$58.750	\$59.250	\$58.000	In Range	In Range
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.000	\$26.750	\$26.250	Above	\$0.250
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.125	\$26.750	\$26.250	Above	\$0.375
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.250	\$26.750	\$26.250	Above	\$0.500
10/25/1979 TEXAS GAS TRANSMISSION CORP PREF CONV \$1.50	\$46.000	No Trades	No Trades	No Trades	No Trades
10/26/1979 BENEFICIAL CORP PFD CONV \$5.50	\$113.500	No Trades	No Trades	No Trades	No Trades
10/26/1979 GULF & WESTIN INDS INC PFD SER C CONV \$3.875 10/30/1979 ATLANTIC RICHFIELD CO PREF CONV \$3	\$69.000 \$240.625	\$71.000 \$242.250	\$71.000 \$242.250	Below Below	\$2.000 \$1.625
10/30/1979 RESERVE OIL & GAS CO PFD CONV \$1.75	\$44.625	\$45.500	\$44.750	Below	\$0.125
10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$63.625	\$65.000	\$65.000	Below	\$1.375
10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$64.500	\$65.000	\$65.000	Below	\$0.500
10/30/1979 WOOLWORTH F W CO PFD SER A CONV \$2.20	\$37.125	\$37.000	\$36.000	Above	\$0.125
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.375	\$25.000	\$25.000	Above	\$0.37
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.500	\$25.000	\$25.000	Above	\$0.500
11/7/1979 AVNET INC PFD SER C CONV 2.50 11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.000 \$107.250	No Trades No Trades	No Trades	No Trades	No Trade
11///1979 AVNET INC PFD SER C CONV 2.50 11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250 \$107.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
11/7/1979 AVNET INC PFD SER C CONV 2.50 11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.300	No Trades	No Trades	No Trades	No Trades
11/7/1979 ENGELHARD MINERALS & CHEM CORP SUB DEB CONV 5.250 11/15/1997	\$139.000	\$141.875	\$141.875	Below	\$2.875
11/7/1979 ENGELHARD MINERALS & CHEM CORP SUB DEB CONV 5.250 11/15/1997	\$140.000	\$141.875	\$141.875	Below	\$1.875

Trade Date Security Nan	e	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
11/7/1979 TRW INC PREF SER 3 CONV \$4.50		\$64.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SER B CONV \$3		\$164.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SER B CONV \$3		\$165.000	No Trades	No Trades	No Trades	No Trades
11/9/1979 AVCO CORP PFD CONV \$4.20 11/9/1979 COLT INDS INC PFD SER A CONV \$1.60		\$63.000 \$34.750	\$65.750 No Trades	\$62.500 No Trades	In Range No Trades	In Range No Trades
11/9/1979 COLT INDS INC 11D SER A CONV \$1.00 11/9/1979 COLT INDS INC PFD SER A CONV \$1.60		\$35.000	No Trades	No Trades	No Trades	No Trades
11/9/1979 CONTINENTAL CORP PFD SER A CONV \$2.5	)	\$54.500	\$53.250	\$53.250	Above	\$1.250
11/9/1979 DART IND INC PFD SER A CONV \$2		\$40.750	\$41.375	\$41.375	Below	\$0.625
11/9/1979 DART IND INC PFD SER A CONV \$2		\$41.000	\$41.375	\$41.375	Below	\$0.375
11/13/1979 AMERICAN GEN INS CO PFD CONV \$0.90	77 4 275 11/01/1001	\$34.625	\$34.625	\$34.625	In Range	In Range
11/14/1979 BAXTER TRAVENOL LAB INC SUB DEB CON 11/14/1979 BAXTER TRAVENOL LAB INC SUB DEB CON		\$116.000 \$117.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/14/1979 GOULD INC PFD CONV \$1.35	V 4.3/3 11/01/1991	\$21.375	No Trades	No Trades	No Trades	No Trades
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$119.000	\$120.000	\$120.000	Below	\$1.000
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$120.000	\$120.000	\$120.000	In Range	In Range
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$121.000	\$120.000	\$120.000	Above	\$1.000
11/16/1979 CONOCO INC PFD CONV \$2		\$123.000	No Trades	No Trades	No Trades	No Trades
11/19/1979 DART IND INC PFD SER A CONV \$2	02	\$41.000	\$43.750	\$43.750	Below	\$2.750
11/19/1979 LINCOLN NATL CORP IND PFD SER A CONV 11/19/1979 PETROLEUM & RESOURCES PFD PFD CONV		\$81.250 \$26.125	\$83.000 \$26.625	\$82.000 \$26.125	Below In Pance	\$0.750
11/21/1979 BELCO PET CORP SUB DEB CONV 4.750 10/0		\$119.000	\$20.023	\$123.000	In Range Below	In Range \$4.000
11/21/1979 CITY INVESTING CO SUB DEB CONV 7.500 1		\$107.500	\$123.000	\$108.000	Below	\$0.500
11/21/1979 PENZOIL CO SUB DEB CONV 5.250 3/01/1996		\$131.000	\$137.000	\$133.000	Below	\$2.000
11/21/1979 WILLIAMS COS PFD SER A CONV \$0.80		\$45.250	No Trades	No Trades	No Trades	No Trades
11/23/1979 HILTON HOTELS CORP SUB DEB CONV 5.50		\$191.000	No Trades	No Trades	No Trades	No Trades
11/23/1979 INTERNATIONAL TEL & TELEG CORP PFD S	ER H CONV \$4	\$45.750	No Trades	No Trades	No Trades	No Trades
11/23/1979 MONSANTO CO PFD CONV \$2.75 11/11/1980 LINCOLN NATL CORP IND PFD SER A CONV	\$2	\$64.750 \$78.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
1/5/1981 FEDERAL PAPER BRD INC PFD CONV 1.20	\$3	\$39.875	No Trades	No Trades	No Trades	No Trades
1/22/1981 JOHNSON CTLS INC PFD SER B CONV \$2		\$39.875	No Trades	No Trades	No Trades	No Trades
2/2/1981 ALLEGHANY LUDLUM INDS INC PFD CONV	\$3	\$56.625	\$57.500	\$57.000	Below	\$0.375
2/17/1981 LEAR SIEGLER INC PFD CONV \$2.25		\$87.375	No Trades	No Trades	No Trades	No Trades
2/18/1981 DILLINGHAM CORP PFD CONV \$2		\$33.125	\$34.000	\$33.750	Below	\$0.625
2/20/1981 AETNA LIFE & CAS CO PFD CONV \$2		\$67.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 BRISTOL MYERS CO PFD CONV \$2 2/25/1981 BRISTOL MYERS CO PFD CONV \$2		\$55.875 \$56.000	\$56.250 \$56.250	\$55.750 \$55.750	In Range In Range	In Range In Range
2/25/1981 GATX CORP PFD CONV \$2.50		\$46.125	No Trades	No Trades	No Trades	No Trades
2/25/1981 HEINZ H J CO 3RD PFD CONV \$1.70		\$36.750	No Trades	No Trades	No Trades	No Trades
2/25/1981 HEINZ H J CO 3RD PFD CONV \$1.70		\$36.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 INSTILCO CORP 2ND PFD SER A \$1.25		\$25.375	No Trades	No Trades	No Trades	No Trades
2/26/1981 ARMCO INC PFD CONV \$2.10		\$44.500	\$44.750	\$43.000	In Range	In Range
2/26/1981 LTV CORPORATION PART PREF CONV SER		\$20.000	\$21.500	\$20.000	In Range	In Range
2/26/1981 LTV CORPORATION PART PREF CONV SER 2/26/1981 LTV CORPORATION PART PREF CONV SER		\$20.250 \$20.500	\$21.500 \$21.500	\$20.000 \$20.000	In Range In Range	In Range In Range
2/26/1981 PETROLEUM & RESOURCES PFD PFD CONV		\$35.500	No Trades	No Trades	No Trades	No Trades
2/26/1981 PETROLEUM & RESOURCES PFD PFD CONV	•	\$35.750	No Trades	No Trades	No Trades	No Trades
2/26/1981 TRW INC PREF SER 3 CONV \$4.50		\$103.500	No Trades	No Trades	No Trades	No Trades
2/27/1981 TRW INC PREF SER 1 CONV \$4.40		\$124.000	\$128.250	\$125.500	Below	\$1.500
2/27/1981 TRW INC PREF SER 1 CONV \$4.40		\$125.500	\$128.250	\$125.500	In Range	In Range
2/27/1981 WASHINGTON NATL CORP PFD CONV \$2.50		\$42.750	\$44.000	\$44.000	Below	\$1.250
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67 3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$36.125	\$35.250	\$35.250	Above Above	\$0.875
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$36.250 \$36.375	\$35.250 \$35.250	\$35.250 \$35.250	Above	\$1.000 \$1.125
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$37.000	\$35.250	\$35.250	Above	\$1.750
3/2/1981 GENERAL INSTR CORP PFD SER A CONV \$3		\$64.125	\$65.000	\$65.000	Below	\$0.875
3/2/1981 GENERAL INSTR CORP PFD SER A CONV \$3		\$65.000	\$65.000	\$65.000	In Range	In Range
3/3/1981 BRUNSWICK CORP PFD SER A CONV \$2.40		\$26.125	\$26.375	\$26.125	In Range	In Range
3/3/1981 HOLIDAY INNS INC SER A CONV \$1.70	0.0	\$35.625	\$37.000	\$36.750	Below	\$1.125
3/3/1981 LINCOLN NATL CORP IND PFD SER A CONV	\$3	\$76.000	No Trades	No Trades	No Trades	No Trades
3/3/1981 TOWNER PETE CO WTS 01/23/1986 3/5/1981 CITY INVESTING CO PREF SER B CONV \$2.0	)	\$10.250 \$38.500	\$10.750 \$39.000	\$10.250 \$38.500	In Range In Range	In Range In Range
3/5/1981 CITY INVESTING COPREF SER B CONV \$2.0		\$39.500	\$39.000	\$38.500	Above	\$0.500
3/9/1981 GRACE W R & CO SUB DEB CONV 6.500 11/1		\$164.000	\$166.000	\$166.000	Below	\$2.000
3/9/1981 INTERNATIONAL TEL & TELEG CORP PFD S		\$53.625	\$53.125	\$53.125	Above	\$0.500
3/9/1981 JEWEL COS PFD SER A CV \$2.31		\$27.750	\$26.750	\$26.750	Above	\$1.000
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25		\$110.250	No Trades	No Trades	No Trades	No Trades
		0111 000	No Trades	No Trades	No Trades	No Trades
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25		\$111.000				
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25 3/11/1981 ASHLAND OIL INC SUB DEB CONV 4.750 8/1 3/11/1981 ATLANTIC RICHFIELD CO PFD CONV \$3	5/1993	\$111.000 \$113.000 \$364.000	\$109.500 \$368.750	\$109.500 \$363.000	Above In Range	\$3.500 In Range

341198  CROWN ZELLERRACH CORP PTD SER A CONY \$4.625   \$33.706   \$53.200   In Range   \$31.198   DATINCAM CORP PTD SER CONY \$9.4011999   \$16.7000   \$15.520   In Range   \$31.198   PATRICK PTE CO WTS EXTO \$40.01981   \$10.027   \$11.000   \$10.250   In Range   \$11.198   PATRICK PTE CO WTS EXTO \$40.01981   \$11.000   \$10.120   In Range   \$11.198   PATRICK PTE CO WTS EXTO \$40.01981   \$11.100   \$10.120   In Range   \$11.100   \$10.120   In Range   \$11.198   PATRICK PTE CO WTS EXTO \$40.01981   \$11.100   \$10.120   In Range   \$11.100   \$10.120   In Range   \$13.198   PATRICK PTE CO WTS EXTO \$40.01981   \$11.100   \$10.120   In Range   \$13.198   PATRICK PTE CO WTS EXTO \$40.01981   \$13.198   PATRICK PTE CO WTS EXTO \$40.01981   PATRICK PTE PATRICK	rade Date	Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
STITUTES   PATRICK PETE CO WTS EXP 0409/1981   \$11.000   \$11.000   \$10.250   \$10.800   \$10.1981   \$11.100   \$11.000   \$10.250   \$10.11981   \$11.100   \$11.000   \$10.250   \$10.250   \$10.11981   \$11.125   \$11.000   \$10.250   \$10.250   \$10.11981   \$10.1125   \$11.000   \$10.250   \$10.250   \$10.11981   \$10.1125   \$11.000   \$10.25	3/11/1981	CROWN ZELLERBACH CORP PFD SER A CONV \$4.625		\$54.000	\$53.500	In Range	In Range
1911 93  PATRICK PETE COW TS EXP 0469/1981   \$11.000							In Range
MILTER   PATRICK PETE COWTS EXP 040091981   SILL22   SIL000   SID.250   A forest positions of the pattern of							In Range
311998   ROCKWELL INTL CORP PED SER A CONY \$4.75   \$1270.00   \$4.62.20   \$6.50.00   \$6							In Range \$0.125
19.1319/18   COOPER INDS INC PED CON \$2.90   \$46.290   \$46.290   \$46.290   \$40.000   \$10.6000   \$10.6000   \$10.6000   \$10.6000   \$40.0000   \$10.9000   \$10.6000   \$10.6000   \$40.0000   \$10.9000   \$10.9000   \$10.6000   \$40.0000   \$10.9000   \$10.6000   \$40.0000   \$10.9000   \$10.9000   \$10.9000   \$40.0000   \$10.9000   \$10.9000   \$40.0000   \$10.9000   \$10.9000   \$40.0000   \$10.9000   \$40.0000   \$10.9000   \$10.9000   \$40.0000   \$10.9000   \$40.0000   \$10.9000   \$40.0000   \$10.9000   \$40.0000   \$10.9000   \$40.0000   \$40.0000   \$10.9000   \$40.00000   \$40.0000   \$40.0000   \$40.0000   \$40.0000							No Trades
146198  RELIANCE GROUP INC PED SER B CONY \$2.02   \$107.000   \$106.000   Above   \$146198  RELIANCE GROUP INC PED SER B CONY \$2.02   \$107.000   \$106.000   \$106.000   Above   \$146198  RELIANCE GROUP INC PED SER B CONY \$2.00   \$108.000   \$106.000   \$106.000   Above   \$146198  RELIANCE GROUP INC PED SER B CONY \$2.00   \$108.000   \$106.000   \$106.000   Above   \$136198  RELIANCE GROUP INC PED SER B CONY \$2.00   \$137.000   \$152.00   \$55.000   \$55.000   \$16.000   Above   \$137198  CITAMPION INTL CORP PEDE CONY \$4.60   \$317.000   \$34.250   \$51.000   \$16.000   Above   \$137198  CITAMPION INTL CORP PEDE CONY \$1.00   \$26.000   \$317.000   \$0.000   \$10.0							In Range
3161998   RELIANCE GROUP IN CPFD SER B CONY \$2.20   \$107.500   \$106.000   \$106.000   \$Above   \$107.500	3/16/1981	INA CORP PFD SER C CONV \$1.90	\$31.500	No Trades	No Trades	No Trades	No Trades
346998   RELIANCE GROUP IN CPTO SER B CONY \$2.02   \$108,000   \$106,000   \$1,000		· · · · · · · · · · · · · · · · · · ·					\$0.750
31/19/19/18   CHAMPION NITL CORP PREF CONV \$2.20   \$31,000   \$316,000   \$300,000   \$300,700   Brange \$317/19/18   GEORGIA PAC CORPORATION PED SER A CONV \$3.400   \$34,250   \$34,250   \$34,000   \$31,700   \$3							\$1.000
3171/981 CHAMPION INTI, CORP PREF CONV \$4.00   \$34.00   \$34.20   \$18.00   \$18.20   \$17.9881 (CHAMPION INTI, CORP PREF CONV \$1.20   \$25.00   \$17.500   \$1.00							\$1.500 \$2.000
31/19/18  CHAMPION INTL CORP PREF CONY \$1.20   \$26.875   No Trades   No Trades   No Trades   \$13/18/1981 CHAMPION INTL CORP PREF CONY \$1.20   \$27.00   No Trades   No Trades   \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50   \$172.00   No Trades   No Trades   \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50   \$172.00   No Trades   No Trades   \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50   \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50   \$117.00   No Trades   No Trades   \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50   \$117.00   S111.750   \$11.750   \$111.750							In Range
MINISTRA   CHAMPION NTL CORP PREF CONV \$1.20   S22,00   No Trades   No Trades   No Trades   Ministra   Minis							In Range
3181891 NEWMONT MNG CORP PED SER A CONY \$4.50   \$17.200   No Trades   No Trades   3181891 NEWMONT MNG CORP PED SER A CONY \$4.50   \$17.000   \$111.500   \$111.000   \$10.000   \$1.000							No Trades
3181981 NEWMONT MNG CORP PED SER A CONY \$4.50   \$137,000   \$173,000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$11.000   \$13.93.75   \$39.125   \$3	3/18/1981	CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	No Trades	No Trades	No Trades	No Trades
3419181 INTERCO INC PED SER D CONV \$7.75   \$111.000   In Range 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20   \$39.375   \$39.125   \$39.125   \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20   \$39.500   \$39.125   \$39.125   \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20   \$39.500   \$39.125   \$39.125   \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40   \$27.625   \$27.875   \$39.125   \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40   \$27.625   \$27.825   \$27.625   \$10.820   \$32.441881   BRUNSWICK CORP PFD SER A CONV \$2.40   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$27.825   \$27.625   \$2							No Trades
32021981 FEDERAL PAPER BRD INC PFD CONV 1.20		·					No Trades
320198   FEDERAL PAPER BRD INC PTD CONV 120   \$93,500   \$96,125   \$80,125   Below   \$123498   BRUNSWICK CORP PTD SER A CONV \$2.40   \$27,625   \$27,875   \$27,625   In Range   \$234981   BRUNSWICK CORP PTD SER A CONV \$2.40   \$27,625   \$27,875   \$25,600   \$25,600   Below   \$234981   MESTERN LINION CORP 2ND PTD CONV 4.09%   \$55,570   \$55,600   \$56,000   Below   \$2344981   MESTERN LINION CORP 2ND PTD CONV 4.09%   \$55,570   \$55,600   \$55,600   Below   \$244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$53,000   \$53,500   \$53,500   Below   \$244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$33,000   \$35,500   \$53,500   Below   \$2244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$33,500   \$35,500   Below   \$2244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$35,500   \$35,500   Below   \$2244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$35,500   \$35,500   Below   \$2244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$35,500   \$35,500   Below   \$244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$35,500   \$35,500   Below   \$244981   MIEELABRATOR FRYE INC PTD SER B CONV \$2   \$35,500   \$35,500   Below   \$32591   MINONANTO CO PTD CONV \$3.50   \$118,500   Mortades   Mo							In Range
323/1981 OCCIDENTAL PETE CORP PED CONV \$4.00   527.625   527.625   527.625   62.000   62.00							\$0.250 \$0.375
3241981 BRUNSWICK CORP PFD SER A CONV \$2.40   \$27.625   \$52.7655   \$56.600   \$56.000   \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90%   \$55.605   \$56.600   \$56.000   \$60.000   \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90%   \$55.505   \$56.000   \$56.000   \$56.000   \$60.000   \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2   \$53.500   \$53.500   \$55.500   \$50.000   \$60.000   \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2   \$53.500   \$53.500   \$53.500   \$53.500   \$52.51981 MONSANTO CO PFD CONV \$2.75   \$32.51981 MONSANTO CO PFD CONV \$2.75   \$32.700   \$0.518.000   \$50.000   \$0.508.000   \$112.41981 WIRELABRATOR RPVE INC PFD SER B CONV \$2   \$32.500   \$53.500   \$53.500   \$53.500   \$112.41981 MONSANTO CO PFD CONV \$3.50   \$118.700   \$0.508.000   \$0.509.000   \$0.509.			****				\$1.625
324198  WESTERN UNION CORP 2ND PTO CONV 4,90%   \$55,60\$   \$56,000   \$60,000   Below   \$324198  WESTERN UNION CORP 2ND PTO CONV 4,90%   \$55,500   \$55,500   \$55,500   \$56,000   \$60,000   \$324198  WHEELABRATOR REYE INC PTO SER B CONV \$2   \$53,000   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,24198  WHEELABRATOR REYE INC PTO SER B CONV \$2   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,24198  WHEELABRATOR REYE INC PTO SER B CONV \$2   \$53,500   \$53,500   \$53,500   \$53,500   \$53,24198  WHEELABRATOR REYE INC PTO SER B CONV \$2   \$52,000   \$53,500   \$53,500   \$53,500   \$53,500   \$53,24198  WHEELABRATOR REYE INC PTO SER B CONV \$2   \$52,000   \$53,50							In Range
3241/98  WHEELABRATOR FRYE INC PED SER B CONV \$2   \$53,000   \$53,500   \$53,500   \$53,500   \$35,401   \$3241/98  WHEELABRATOR FRYE INC PED SER B CONV \$2   \$53,500   \$			\$55.625	\$56.000	\$56.000		\$0.375
3241/98  WHEELABRATOR FRYE INC PFD SER B CONV \$2   \$33,200   \$53,500   \$53,500   \$53,500   \$35,409   \$32,4198  WHEELABRATOR FRYE INC PFD SER B CONV \$2   \$35,2500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,500   \$53,600   \$53,500	3/24/1981	WESTERN UNION CORP 2ND PFD CONV 4.90%		\$56.000	\$56.000	Below	\$0.250
324/198  WHEELARATOR FRYE INC PED SER B CONV \$2   325/198  INONSANTOC OF DECONV \$2.75   \$827.75   \$827.75   \$827.75   \$827.75   \$818.750   \$819.750   \$8							\$0.500
3251981 MONSANTO CO PFD CONV \$2.75   S82.750   No Trades   No Trades   No Trades   No Trades   I1/23/1981 LTV CORPORATION PART PREF CONV \$ET   S17.625   No Trades   No Trades   No Trades   No Trades   I1/24/1981 AMERADA HESS CORP PFD CONV \$3.50   S119.500   No Trades   No Trades   No Trades   I1/24/1981 MERADA HESS CORP PFD CONV \$3.50   S19.500   No Trades   No Trades   No Trades   I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70   S39.625   No Trades   No Trades   No Trades   I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70   S39.625   No Trades   No Trades   I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75   S74.000   No Trades   No Trades   No Trades   I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75   S74.000   No Trades   No Trades   I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$1.60   S20.75   No Trades   No Trades   I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$2.08   S38.375   S38.000   Above   I1/30/1981 FMC CORP PFD CONV \$2.08   S38.375   S38.000   Manage   I1/30/1981 FMC CORP PFD CONV \$2.08   S39.750   S40.000   S39.750   S40.000   S40.0		· · · · · · · · · · · · · · · · · · ·					\$0.250
11/23/1981 LTV_CORPORATION PART PREE CONV SER I   S17.625							In Range No Trades
1124/1981 AMERADA HESS CORP PFD CONV \$3.50   \$118.750   No Trades   No Trades   No Trades   1124/1981 AMERADA HESS CORP PFD CONV \$3.50   \$19.500   No Trades   No Trades   No Trades   1124/1981 MENZ HI CO 3RD PFD CONV \$1.70   \$39.625   No Trades							No Trades No Trades
11/24/1981 AMERADA HESS CORP PFD CONV \$1.70   \$39.625 No Trades   No Trades   No Trades   11/24/1981 HEINZ H J CO 3RD PFD CONV \$1.70   \$39.625 No Trades   No Trades   No Trades   11/24/1981 MONSANTO CO PFD CONV \$2.75   \$74.000 No Trades   No Tr							No Trades
11/24/1981 MONSANTO CO PFD CONV \$2.75   S74,000   No Trades   No Trades   11/27/1981 WALTER JIM CORP 4TH PFD CONV \$1.60   \$20.750   No Trades   No Trades   No Trades   11/30/1981 FMC CORP PFD CONV \$2.25   \$33.000   \$32.500   \$32.000   Above   11/30/1981 FMC CORP PFD CONV \$2.08   \$28.375   \$28.375   \$28.800   In Range   12/3/1981 ROLIDAY INIS INC \$30.005   S0.000   S0.							No Trades
11/21/1981 WALTER JIM CORP 4TH PFD CONV \$1.60   \$20,750   No Trades   No Trades   11/30/1981 TEXTRON INC PFD CONV \$2.25   \$33.000   \$32.000   Above   11/30/1981 TEXTRON INC PFD CONV \$2.08   \$28.375   \$28.375   \$28.305   Brange   12/3/1981 HOLIDAY INNS INC SUB DEB CONV \$625 04/01/2005   \$141.000   \$137.750   \$137.750   Above   12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$625 04/01/2005   \$141.000   \$137.750   \$137.750   Above   12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4   \$39.750   \$40.750   \$33.750   In Range   12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4   \$35.000   No Trades   No Trades   12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.125   \$35.125   Above   12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.125   \$35.125   Above   12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$26.125   No Trades   No Trades   12/11/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.200   \$62.250   \$62.250   Below   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.200   \$62.250   \$62.250   Below   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$60.000   \$	1/24/1981	HEINZ H J CO 3RD PFD CONV \$1.70	\$39.625	No Trades	No Trades	No Trades	No Trades
11/30/1981 FMC CORP PFD CONV \$2.25   S33.000   S32.000   Above     11/30/1981 TEXTRON INC PFD CONV \$2.08   \$28.375   \$28.305   \$28.000   In Range     12/31/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005   \$141.000   \$131.750   \$137.750   Above     12/71/1981 RCA CORP IST PFD CONV \$4   \$39.750   \$40.750   \$39.750   In Range     12/81/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4   \$55.000   No trades     12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.125   \$35.125   Above     12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.625   \$35.125   \$35.125   Above     12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875   \$52.000   \$53.000   \$52.500   Below     12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$62.125   No trades     12/14/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.250   \$62.250   Below     12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   Below     12/15/1981 WESTERN UNION CORP PFD CONV \$2.20   \$38.500   \$39.125   \$38.500   In Range     12/16/1981 WESTERN UNION CORP PFD CONV \$2.20   \$69.000   \$66.625   Above     12/17/1981 AUGAT INC SUB DEB CONV \$2.509   1/5/2006   \$99.000   \$100.000   \$100.000   Below     12/18/1981 CELANISES CORP SUB DEB CONV \$2.509   1/5/2006   \$99.625   \$99.875   \$99.000   In Range     12/18/1981 CELANISES CORP SUB DEB CONV \$2.506   \$90.625   \$91.000   \$91.000   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06   \$90.625   \$91.000   \$91.000   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06   \$90.625   \$91.000   \$91.000   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06   \$90.625   \$91.000   \$91.000   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2.00   \$86.600   \$36.500   \$36.							No Trades
1730/1981   TEXTRON INC PFD CONV \$2.08   \$28.375   \$28.375   \$28.000   In Range   123/1981   HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005   \$11.000   \$137.750   \$30.000   \$127/1981   RCA CORP   IST PFD CONV \$4   \$53.000   \$64.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750   \$33.750   \$40.750							No Trades
12/3/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005   S31,750   S31,750   S39,750   In Range   I2/7/1981 RCA CORP IST PFD CONV \$4   S35,000   No Trades   No Trades   No Trades   No Trades   I2/11/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4   S55,000   No Trades   No Trades   No Trades   I2/11/1981 LARMCO INC PFD CONV \$2.10   S35,625   S35,125   S35,125   Above   I2/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875   S20,000   S53,000   S52,500   Below   I2/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20   S20,125   No Trades   No Trades   No Trades   I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   S61,750   S62,250   S62,250   Below   I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   S61,750   S62,000   S62,250   S62,250   Below   I2/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20   S38,500   S39,125   S38,500   In Range   I2/16/1981 WESTERN UNION CORP PFD CONV \$2.20   S38,500   S69,025   S69,000   S68,625   Above   I2/17/1981 AUGAT INC SUB DEB CONV \$2.50 9/15/2005   S99,000   S100,000   Below   I2/18/1981 CELANESE CORP SUB DEB CONV \$2.50 9/15/2006   S90,025   S90,000   S100,000   Below   I2/18/1981 BRISTOL MYERS CO PFD CONV \$2   S55,500   S56,500   S65,500   Below   I2/23/1981 BRISTOL MYERS CO PFD CONV \$2   S55,500   S56,500   S65,500   Below   I2/23/1981 BRISTOL MYERS CO PFD CONV \$2   S55,500   S65,500   S65,500   Below   I2/23/1982 CHICNOTY SUB DEB CONV \$2.50 2/01/1989   S12,5000   No Trades   No Trades   No Trades   I2/23/1982 ENDIX CORP PFD SER A CONV \$2.40   S76,500   S76,500   S76,500   Below   I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90   S76,500   S76,500   S76,500   Below   I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90   S76,500   S76,500   S76,500   Below   I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90   S76,500   S76,500   S76,500   Below   I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90   S76,500   S							\$0.500
12/7/1981 RCA CORP 1ST PFD CONV \$4   \$39.750   \$40.750   \$39.750   In Range   12/8/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4   \$55.000   No Trades   No Trades   No Trades   12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.125   \$		·					In Range \$3.250
12/8/1981   INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4   \$55.000   No Trades   No Trades   12/11/1981   ARMCO INC PFD CONV \$2.10   \$33.625   \$35.625   \$35.125   \$35.000   \$82.000   \$32.000   \$32.000   \$32.000   \$32.000   \$32.000   \$32.000   \$32.11/1981   UNITED TECHONLOGIES CORP PFD CONV \$2.20   \$26.125   No Trades   No Trades   12/15/1981   FOREMOST MCKESSON INC PFD SER A CONV \$2.80   \$61.750   \$62.250   \$62.250   Below   12/15/1981   FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   Below   12/15/1981   FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   Below   12/15/1981   MC DERMOTT INC PFD SER A CONV \$2.00   \$33.500   \$33.500   \$39.125   \$38.500   In Range   12/16/1981   MESTERN UNION CORP PFD CONV 4.60%   \$69.125   \$69.000   \$68.625   Above   12/17/1981   AUGAT INC SUB DEB CONV \$2.50   6/15/2006   \$99.000   \$100.000   Below   12/18/1981   CELANESE CORP SUB DEB CONV 9.750 6/15/2006   \$99.000   \$100.000   Below   12/12/1981   BALDWIN UTD CORP PFD CL U CONC \$2.06   \$90.255   \$91.000   \$91.000   Below   12/22/1981   BALDWIN UTD CORP PFD CL U CONC \$2.06   \$90.625   \$91.000   \$91.000   Below   12/23/1981   BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981   BRISTOL MYERS CO PFD CONV \$2   \$55.750   \$56.500   \$86.000   Below   12/29/1981   ETHYL CORP PD SER A CONV \$2.40   \$58.125   No Trades   No Trades   No Trades   \$2/22/1982   TESORO PETE CORP SUB DEB CONV \$2.20   \$91.000   No Trades   No Trades   \$2/23/1982   BENDIX CORP PFD SER B CONV \$2.40   \$92.000   \$43.000   \$42.000   Above   \$2/23/1982   CHRIS CRAFT IND INC PFD SIA & CONV \$2.80   \$92.000   \$43.000   \$42.000   Above   \$2/23/1982   CHRIS CRAFT IND INC PFD SIA & CONV \$2.20   \$92.605   \$93.500   \$93.500   \$93.000   Below   \$93.500   \$93.000   \$93.000   \$93.000   \$93.000   Below   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.000   \$93.0							In Range
12/11/1981 ARMCO INC PFD CONV \$2.10   \$35.625   \$35.125   \$35.125   Below   12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875   \$52.000   \$53.000   \$52.500   Below   12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$26.125   No Trades   No Trades   No Trades   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.250   \$62.250   Below   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.250   \$62.250   Below   12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   Below   12/15/1981 MC DERMOST INC PFD SER A CONV \$2.20   \$38.500   \$39.125   \$38.500   In Range   12/16/1981 WESTERN UNION CORP PFD CONV \$2.20   \$38.500   \$69.000   \$69.000   \$68.625   Above   12/17/1981 AUGAT INC SUB DEB CONV \$2.50   9/15/2005   \$99.000   \$100.000   \$100.000   Below   12/18/1981 CELANESE CORP SUB DEB CONV \$7.50 6/15/2006   \$90.250   \$99.000   \$100.000   \$100.000   Below   12/18/1981 CELANESE CORP SUB DEB CONV \$2.206   \$90.625   \$91.000   \$91.000   Below   12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06   \$90.625   \$91.000   \$91.000   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1982 ETBYL CORP \$20 PFD SER A CONV \$2.40   \$58.125   No Trades   No Trades   No Trades   \$2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.50 2/01/1989   \$125.000   No Trades   No Trades   \$2/23/1982 ERDINIX CORP PFD SER A CONV \$1.80   \$34.000   \$42		·					No Trades
12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$26.125 No Trades   No Trades   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$69.020   \$66.25   \$69.000   \$66.625   \$69.020   \$66.250   \$60.220   \$60.200   \$66.250   \$60.200   \$60.00			\$35.625			Above	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$61.750   \$62.250   \$62.250   Below   12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   Below   12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$2.20   \$38.500   \$39.125   \$38.500   In Range   12/16/1981 WESTERN UNION CORP PFD CONV 4.60%   \$69.125   \$69.000   \$68.625   Above   12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005   \$99.000   \$100.000   \$100.000   Below   12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006   \$99.250   \$99.875   \$99.000   In Range   12/12/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982   \$24.375   25   25   Below   12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06   \$90.625   \$91.000   \$91.000   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.750   \$56.500   \$56.500   Below   12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40   \$581.25   No Trades   No Trades   No Trades   2/22/1982 ETSORO PETE CORP SUB DEB CONV 5.250 2/01/1989   \$125.000   No Trades   No Trades   No Trades   2/23/1982 BRDIX CORP PFD SER A CONV \$2.40   \$77.000   No Trades   No Trades   No Trades   2/23/1982 BRDIX CORP PFD SER A CONV \$1.80   \$57.000   \$43.000   \$42.000   Above   2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$54.625   No Trades   No Trades   No Trades   2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$2.20   \$22.625   \$23.000   \$23.500   Below   2/24/1982 BRISTOL MYERS CO PFD CONV \$2   \$56.500   \$56.500   \$56.500   Below   2/24/1982 BRISTOL MYERS CO PFD CONV \$2   \$56.500   \$56.500   \$56.500   Below   2/24/1982 BRISTOL MYERS CO PFD CONV \$2   \$56.500   \$56.500   \$56.500   \$56.500   \$60.000   \$	2/14/1981	UNITED TECHONLOGIES CORP PFD CONV \$3.875	\$52.000	\$53.000	\$52.500	Below	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$62.000   \$62.250   \$62.250   \$80.000   \$12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20   \$38.500   \$38.500   \$39.125   \$38.500   \$10.000		·					No Trades
12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20         \$38,500         \$39,125         \$38,500         In Range           12/16/1981 WESTERN UNION CORP PFD CONV 4.60%         \$69,125         \$69,000         \$68,625         Above           12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005         \$99,000         \$100,000         \$100,000         Below           12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006         \$90,250         \$90,875         \$90,000         In Range           12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982         \$24,375         25         25         Below           12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06         \$90,625         \$91,000         \$91,000         Below           12/23/1981 BRISTOL MYERS CO PFD CONV \$2         \$55,500         \$56,500         \$56,500         Below           12/23/1981 BRISTOL MYERS CO PFD CONV \$2         \$55,750         \$56,500         \$56,500         Below           12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40         \$81,25         No Trades         No Trades           2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.20         \$125,000         No Trades         No Trades           2/23/1982 BENDIX CORP PFD SER B CONV \$3,49%         \$92,000         \$43,000         \$42,000         Above           2/23/1982 FOREMOST MCKESSON INC PFD SLA0         \$77,000 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$0.500</td>							\$0.500
12/16/1981 WESTERN UNION CORP PFD CONV 4.60%   \$69.125   \$69.000   \$100.000   \$100.000   \$101/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005   \$99.000   \$100.000   \$100.000   \$100.000   \$102/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006   \$99.025   \$99.875   \$90.000   In Range   \$12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982   \$24.375   \$25   \$25   \$80.000   \$12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06   \$90.625   \$91.000   \$91.000   \$80.000   \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   \$80.000   \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   \$80.000   \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   \$80.000   \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$60.000   \$12/23/1982 BENDIX CORP PFD SER A CONV \$2.40   \$51.2500   \$0.000   \$43.000   \$42.000   \$40.000			** ***				\$0.250 In Panga
12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005         \$99.000         \$100.000         \$100.000         Below           12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006         \$90.250         \$90.875         \$90.000         In Range           12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982         \$24.375         25         25         Below           12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06         \$90.625         \$91.000         \$91.000         Below           12/23/1981 BRISTOL MYERS CO PFD CONV \$2         \$55.500         \$56.500         \$56.500         Below           12/23/1981 BRISTOL MYERS CO PFD CONV \$2         \$55.750         \$56.500         \$56.500         Below           12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40         \$58.125         No Trades         No Trad							In Range \$0.125
12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006   \$90.250   \$90.875   \$90.000   In Range   12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982   \$24.375   \$25   \$25   Below   12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06   \$90.625   \$91.000   \$91.000   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40   \$58.125   No Trades   No Trades   No Trades   12/23/1982 BENDIX CORP PFD SER B CONV \$2.20   \$12.5000   No Trades   No Trades   No Trades   12/23/1982 BENDIX CORP PFD SER B CONV \$3/4%   \$92.000   \$43.000   \$42.000   Above   12/23/1982 CHRIS CRAFT IND INC PFD \$1.40   \$77.000   No Trades   No Trades   No Trades   12/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$54.625   No Trades   No Trades   No Trades   12/23/1982 TEXTRON INC PFD CONV \$2.08   \$24.250   \$24.375   \$23.750   In Range   12/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$22.625   \$23.000   \$23.000   Below   12/24/1982 ARCATA CORP PFD SER C CONV \$2   \$45.750   \$56.550   \$56.750   Below   12/24/1982 BRISTOL MYERS CO PFD CONV \$2   \$32.875   \$32.500   \$32.500   Below   12/24/1982 EPEBERAL PAPER BRD INC PFD CONV \$8   \$145.875   No Trades   No Trades   3/9/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8   \$145.875   No Trades   No Trades   No Trades   3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00   \$33.375   No Trades   No Trades   No Trades   3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below   14/24/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$32.875   \$32.500   \$75.500   Below   14/24/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$33.375   No Trades   No Trad							\$1.000
12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06   \$90.625   \$91.000   \$91.000   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below     12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.750   \$56.500   \$56.500   Below     12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40   \$58.125   No Trades   No Trades     12/29/1982 TESORO PETE CORP SUB DEB CONV \$.250 2/01/1989   \$125.000   No Trades   No Trades     12/23/1982 BENDIX CORP PFD SER B CONV \$.250 2/01/1989   \$125.000   No Trades   No Trades     12/23/1982 BENDIX CORP PFD SER B CONV \$1.40   \$77.000   No Trades   No Trades     12/23/1982 CHRIS CRAFT IND INC PFD \$1.40   \$77.000   No Trades   No Trades     12/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$54.625   No Trades   No Trades     12/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$22.625   \$23.000   \$23.000   Below     12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08   \$45.750   \$56.250   \$53.500   Below     12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08   \$45.750   \$56.500   \$56.550   \$56.750   Below     12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08   \$45.750   \$56.500   \$71.500   Below     12/23/1982 WESTERN UNION CORP PFD CONV \$2.00   \$32.875   \$32.500   Above     12/23/1982 BRISTOL MYERS CO PFD CONV \$2.00   \$32.875   \$32.500   Above     13/1982 FEDERAL PAPER BRD INC PFD CONV \$8   \$145.875   No Trades   No Trades     13/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8   \$145.875   No Trades   No Trades     13/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00   \$33.375   No Trades   No Trades     13/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.						In Range	In Range
12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.500   \$56.500   \$56.500   Below   12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.750   \$56.500   \$56.500   Below   12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40   \$58.125   No Trades   No Trades   No Trades   2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.50   2/01/1989   \$125.000   No Trades   No Trades   No Trades   2/23/1982 BENDIX CORP PFD SER B CONV \$2.40   \$92.000   \$43.000   \$42.000   Above   2/23/1982 CHRIS CRAFT IND INC PFD \$1.40   \$77.000   No Trades   No Trades   No Trades   2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$54.625   No Trades   No Trades   No Trades   2/23/1982 TEXTRON INC PFD CONV \$2.08   \$44.250   \$24.375   \$23.750   In Range   2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$22.625   \$23.000   \$23.000   Below   2/24/1982 ARCATA CORP PFD SER C CONV \$2   \$45.750   \$56.250   \$53.500   Below   2/24/1982 WESTERN UNION CORP PFD CONV \$2   \$69.500   \$71.500   Below   2/24/1982 WESTERN UNION CORP PFD CONV \$4.00%   \$69.500   \$71.500   \$71.500   Below   3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$8   \$145.875   No Trades   No Trades   No Trades   3/9/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8   \$145.875   No Trades   No	2/21/1981	AMERICAN BROADCASTING COS INC WT EXP 01/02/0982	\$24.375	25	25	Below	\$0.625
12/23/1981 BRISTOL MYERS CO PFD CONV \$2   \$55.750   \$56.500   \$56.500   Below     12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40   \$58.125   No Trades   No Trades     2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989   \$125.000   No Trades   No Trades     2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4%   \$92.000   \$43.000   \$42.000   Above     2/23/1982 CHRIS CRAFT IND INC PFD \$1.40   \$77.000   No Trades   No Trades     2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80   \$54.625   No Trades   No Trades     2/23/1982 TEXTRON INC PFD CONV \$2.08   \$24.250   \$24.375   \$23.750   In Range     2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20   \$22.625   \$23.000   \$23.000   Below     2/24/1982 ARCATA CORP PFD SER C CONV \$2   \$45.750   \$56.250   \$53.500   Below     2/24/1982 BRISTOL MYERS CO PFD CONV \$2   \$69.500   \$71.500   Below     2/24/1982 WESTERN UNION CORP PFD CONV 4.60%   \$69.500   \$71.500   \$32.500   Above     3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$8   \$145.875   No Trades   No Trades     3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00   \$33.375   No Trades   No Trades     3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.125   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50   \$75.500   \$75.500   Below     3/5/198		·					\$0.375
12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40         \$58.125         No Trades         No Trades         No Trades           2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989         \$125.000         No Trades         No Trades           2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4%         \$92.000         \$43.000         \$42.000         Above           2/23/1982 CHRIS CRAFT IND INC PFD \$1.40         \$77.000         No Trades         No Trades         No Trades         No Trades           2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80         \$54.625         No Trades         No Trades         No Trades           2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.08         \$24.250         \$24.375         \$23.750         In Range           2/24/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20         \$22.625         \$23.000         \$23.000         Below           2/24/1982 BRISTOL MYERS CO PFD SER C CONV \$2         \$45.750         \$56.250         \$53.500         Below           2/24/1982 WESTERN UNION CORP PFD CONV \$2         \$56.500         \$56.550         \$56.750         Below           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP							\$1.000
2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989       \$125.000       No Trades       No Trades       No Trades         2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4%       \$92.000       \$43.000       \$42.000       Above         2/23/1982 CHRIS CRAFT IND INC PFD \$1.40       \$77.000       No Trades       No Trades       No Trades         2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80       \$54.625       No Trades       No Trades         2/23/1982 TEXTRON INC PFD CONV \$2.08       \$24.250       \$24.375       \$23.750       In Range         2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20       \$22.625       \$23.000       \$23.000       Below         2/24/1982 ARCATA CORP PFD SER C CONV \$2       \$45.750       \$56.250       \$53.500       Below         2/24/1982 BRISTOL MYERS CO PFD CONV \$2       \$56.500       \$56.550       \$56.750       Below         2/24/1982 WESTERN UNION CORP PFD CONV \$2       \$56.900       \$71.500       \$71.500       Below         3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$1.20       \$32.875       \$32.500       Above         3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00       \$33.375       No Trades       No Trades         3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50       \$75.125       \$75.500       \$75.500       Below							\$0.750
2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4%         \$92.000         \$43.000         \$42.000         Above           2/23/1982 CHRIS CRAFT IND INC PFD \$1.40         \$77.000         No Trades         No Trades           2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80         \$54.625         No Trades         No Trades           2/23/1982 TEXTRON INC PFD CONV \$2.08         \$24.250         \$24.375         \$23.750         In Range           2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20         \$22.625         \$23.000         \$23.000         Below           2/24/1982 ARCATA CORP PFD SER C CONV \$2         \$45.750         \$56.250         \$53.500         Below           2/24/1982 BRISTOL MYERS CO PFD CONV \$2         \$56.500         \$56.750         \$56.750         Below           2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$60.500         \$71.500         Below           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50         \$75.125         \$75.500         \$75.500         Below							No Trades No Trades
2/23/1982 CHRIS CRAFT IND INC PFD \$1.40         \$77.000         No Trades         No Trades           2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80         \$54.625         No Trades         No Trades           2/23/1982 TEXTRON INC PFD CONV \$2.08         \$24.250         \$24.375         \$23.750         In Range           2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20         \$22.625         \$23.000         \$23.000         Below           2/24/1982 ARCATA CORP PFD SER C CONV \$2         \$45.750         \$56.250         \$55.500         Below           2/24/1982 BRISTOL MYERS CO PFD CONV \$2         \$56.500         \$56.500         \$56.750         Below           2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$61.00           3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20         \$32.805         \$32.500         Above           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50         \$75.105         \$75.500         \$75.500         Below							\$49.000
2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80         \$54.625         No Trades         No Trades           2/23/1982 TEXTRON INC PFD CONV \$2.08         \$24.250         \$24.375         \$23.750         In Range           2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20         \$22.625         \$23.000         \$23.000         Below           2/24/1982 ARCATA CORP PFD SER C CONV \$2         \$45.750         \$56.250         \$53.500         Below           2/24/1982 BRISTOL MYERS CO PFD CONV \$2         \$56.500         \$56.500         \$56.550         \$56.750         Below           2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$71.500         Below           3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20         \$32.875         \$32.500         \$32.500         Above           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Tra					-		No Trades
2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20       \$22.625       \$23.000       \$23.000       Below         2/24/1982 ARCATA CORP PFD SER C CONV \$2       \$45.750       \$56.250       \$53.500       Below         2/24/1982 BRISTOL MYERS CO PFD CONV \$2       \$56.500       \$56.750       \$56.750       Below         2/24/1982 WESTERN UNION CORP PFD CONV 4.60%       \$69.500       \$71.500       \$71.500       Below         3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20       \$32.875       \$32.500       \$32.500       Above         3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8       \$145.875       No Trades       No Trades       No Trades         3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00       \$33.375       No Trades       No Trades       No Trades         3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50       \$75.125       \$75.500       \$75.500       Below		·					No Trades
2/24/1982 ARCATA CORP PFD SER C CONV \$2         \$45.750         \$56.250         \$53.500         Below           2/24/1982 BRISTOL MYERS CO PFD CONV \$2         \$56.500         \$56.750         \$56.750         Below           2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$71.500         Below           3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20         \$32.875         \$32.500         \$32.500         Above           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50         \$75.125         \$75.500         \$75.500         Below		• • • • • • • • • • • • • • • • • • • •					In Range
2/24/1982 BRISTOL MYERS CO PFD CONV \$2         \$56.500         \$56.500         \$56.750         Below           2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$71.500         Below           3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20         \$32.875         \$32.500         \$32.500         Above           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50         \$75.125         \$75.500         \$75.500							\$0.375
2/24/1982 WESTERN UNION CORP PFD CONV 4.60%         \$69.500         \$71.500         \$71.500         Below           3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20         \$32.875         \$32.500         \$32.500         Above           3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8         \$145.875         No Trades         No Trades         No Trades           3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00         \$33.375         No Trades         No Trades           3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50         \$75.125         \$75.500         \$75.500							\$7.750
3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20       \$32.875       \$32.500       \$32.500       Above         3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8       \$145.875       No Trades       No Trades       No Trades         3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00       \$33.375       No Trades       No Trades       No Trades         3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50       \$75.125       \$75.500       \$75.500       Below							\$0.250
3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8       \$145.875       No Trades       No Trades       No Trades         3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00       \$33.375       No Trades       No Trades       No Trades         3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50       \$75.125       \$75.500       \$75.500       Below							\$2.000 \$0.375
3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00       \$33.375       No Trades       No Trades         3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50       \$75.125       \$75.500       \$75.500    Below							No Trades
3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below		· · · · · · · · · · · · · · · · · · ·					No Trades
							\$0.375
	3/11/1982	ARCATA CORP PFD SER A CONV \$2.16	\$31.750	No Trades	No Trades	No Trades	No Trades
3/11/1982 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$48.875 \$50.000 \$50.000 Below							\$1.125
3/12/1982 OCCIDENTAL PETE CORP PFD CONV \$3.60 \$61.375 Below	3/12/1982	OCCIDENTAL PETE CORP PFD CONV \$3.60	\$61.250	\$61.375	\$61.375	Below	\$0.125

Frade Date	Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
3/15/1982 TIME INC PFD SER B CONV S	S1.575	\$48.375	49	\$48.250	In Range	In Range
3/18/1982 BENDIX CORP PFD SER A CO		\$102.750	No Trades	No Trades	No Trades	No Trades
3/18/1982 EATON CORP PFD CONV 4.7: 3/18/1982 LEAR SIEGLER INC PFD CON		\$41.125 \$56.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/18/1982 TRW INC PREF SER 3 CONV		\$84.875	\$84.750	\$84.750	Above	No Trades \$0.125
3/18/1982 TRW INC PREF SER 3 CONV		\$85.250	\$84.750	\$84.750	Above	\$0.500
3/18/1982 TRW INC PREF SER 3 CONV		\$85.750	\$84.750	\$84.750	Above	\$1.000
3/19/1982 ROCKWELL INTL CORP PFD	SER B CONV \$1.35	\$49.125	\$48.000	\$48.000	Above	\$1.125
3/19/1982 TRW INC PREF1 CONV \$4.40	D CONTACT TO	\$102.625	No Trades	No Trades	No Trades	No Trades
12/6/1982 AMERICAN BRANDS INC PFI 12/6/1982 TIME INC PFD SER B CONV S		\$42.875 \$67.500	No Trades \$66.500	No Trades \$66,500	No Trades	No Trades \$1.000
12/0/1982 TIME INC PFD SER B CONV S 12/15/1982 EMHART CORP VA PFD CON		\$67.500 \$72.500	No Trades	No Trades	Above No Trades	No Trade
12/15/1982 JAMES RIVER CORP OF VAS		\$101.000	\$103.500	\$99.375	In Range	In Range
12/15/1982 JAMES RIVER CORP OF VA S		\$101.500	\$103.500	\$99.375	In Range	In Range
12/17/1982 INTERCO INC PFD SER D CO	NV \$7.75	\$126.000	\$127.250	\$126.500	Below	\$0.500
12/23/1982 AMERICAN TEL & TELEG CO		\$60.625	\$61.250	\$60.750	Below	\$0.12
2/8/1983 NEWMONT MNG CORP PFD		\$175.750	No Trades	No Trades	No Trades	No Trade
2/23/1983 TIME INC PFD SER B CONV \$		\$67.500	\$66.500	\$66.500	Above	\$1.000
2/23/1983 TIME INC PFD SER B CONV S 2/23/1983 TIME INC PFD SER B CONV S		\$67.750 \$68.000	\$66.500 \$66.500	\$66.500 \$66.500	Above Above	\$1.250 \$1.500
2/23/1983 TIME INC PFD SER B CONV S		\$68.000 \$68.500	\$66.500	\$66.500	Above	\$1.50 \$2.00
2/25/1983 HIME INC PFD SER B CONV S		\$186.000	\$188.875	\$188.000	Below	\$2.00 \$2.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.000	\$188.875	\$188.000	Below	\$1.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.500	\$188.875	\$188.000	Below	\$0.50
2/28/1983 ANACOMP INC SUB DEB CO	NV 9.500 9/01/2000	\$139.000	\$143.500	\$140.000	Below	\$1.000
2/28/1983 ASSOCIATED DRY GOODS C		\$71.500	No Trades	No Trades	No Trades	No Trade
2/28/1983 ROCKWELL INTL CORP PFD	·	\$89.000	\$90.000	\$90.000	Below	\$1.000
2/28/1983 SHELLER GLOBE CORP PFD	CONV \$1.35	\$37.875	\$37.375	\$36.375	Above	\$0.500
3/1/1983 SUN INC PFD CONV \$2.25 3/1/1983 UNION PACIFIC CORP PFD S	ED A CONV \$7.25	\$65.500 \$103.250	\$64.750 \$104.000	\$64.625 \$103.000	Above In Range	\$0.75
3/2/1983 AMERICAN BRANDS INC PF		\$42.750	No Trades	No Trades	No Trades	In Range No Trade
3/2/1983 GULF & WESTN INDS INC PF		\$152.000	\$151.000	\$151.000	Above	\$1.000
3/3/1983 MONSANTO CO PFD CONV \$		\$97.250	No Trades	No Trades	No Trades	No Trade
3/3/1983 MONSANTO CO PFD CONV \$	32.75	\$97.500	No Trades	No Trades	No Trades	No Trade
3/7/1983 FOREMOST MCKESSON INC		\$62.250	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$162.000	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$164.000	No Trades	No Trades	No Trades	No Trade
3/9/1983 ATLANTIC RICHFIELD CO PI 3/9/1983 HOUSEHOLD INTL INC PFD (		\$273.500 \$52.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
3/9/1983 OGDEN CORP PFD CONV \$1.		\$64.500	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25	073	\$44.875	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.000	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.250	No Trades	No Trades	No Trades	No Trade
3/11/1983 WASHINGTON NATL CORP I		\$42.750	\$43.000	\$43.000	Below	\$0.250
3/11/1983 WASHINGTON NATL CORP I	• • • •	\$43.000	\$43.000	\$43.000	In Range	In Range
3/11/1983 WASHINGTON NATL CORP F	• • •	\$43.250	\$43.000	\$43.000	Above	\$0.250
3/15/1983 GREYHOUND CORP SUB DE: 3/15/1983 HORN & HARDART CO SUB		\$128.000 \$110.000	\$129.000 \$125.250	\$129.000 \$110.000	Below In Range	\$1.000 In Range
3/18/1983 JOHNSON CTLS INC PFD SER		\$49.500	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SEF		\$49.625	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SEF		\$49.750	No Trades	No Trades	No Trades	No Trade
3/18/1983 ROHR INDS INC PFD SER B C	CONV 3.125	\$38.125	\$37.500	\$35.375	Above	\$0.625
3/18/1983 ROHR INDS INC PFD SER B C		\$38.250	\$37.500	\$35.375	Above	\$0.750
3/18/1983 ROHR INDS INC PFD SER B C		\$38.500	\$37.500	\$35.375	Above	\$1.000
3/23/1983 GULF & WESTN INDS INC PF		\$56.875	\$58.000	\$56.000	In Range	In Rang
3/23/1983 GULF & WESTN INDS INC PF 3/23/1983 TRANS WORLD AIRLS INC S		\$57.375 \$136.000	\$58.000 No Trades	\$56.000 No Trades	In Range No Trades	In Rang No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$136.500	No Trades	No Trades	No Trades	No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$137.000	No Trades	No Trades	No Trades	No Trade
3/24/1983 UNION PACIFIC CORP PFD S		\$101.250	\$103.250	\$101.000	In Range	In Rang
7/29/1983 HOUSEHOLD INTL INC PFD (		\$59.875	No Trades	No Trades	No Trades	No Trade
8/17/1983 ETHYL CORP 2ND PFD SER A		\$130.250	No Trades	No Trades	No Trades	No Trade
8/26/1983 AMERADA HESS CORP PFD (		\$138.500	No Trades	No Trades	No Trades	No Trade
8/29/1983 AMERADA HESS CORP PFD (		\$138.500	\$140.000	\$140.000	Below	\$1.50
8/31/1983 INTERNATIONAL HARVESTI		\$33.000	\$33.000	\$32.500	In Range	In Range
9/8/1983 INTERCO INC PFD SER D CO		\$150.500 \$151.000	No Trades	No Trades	No Trades	No Trade
9/8/1983 INTERCO INC PFD SER D CO 9/8/1983 INTERCO INC PFD SER D CO		\$151.000 \$152.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
9/8/1983 INTERCO INC PFD SER D CO		\$152.500 \$152.500	No Trades No Trades	No Trades	No Trades	No Trade
		Ψ102.000			- 10 - 114400	o IIudo

Trade Date Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
9/8/1983 SHELLER GLOBE CORP \$ 3 CV PFD	\$108.000	No Trades	No Trades	No Trades	No Trades
9/8/1983 TOTAL PETE NORTH AMER LTD WTS EXP 6/30/86	\$4.000	\$4.125	\$3.875	In Range	In Range
9/9/1983 SOUTHMARK CORP PFD SER A CONV \$1 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$12.000 \$59.625	\$12.250 No Trades	\$12.000 No Trades	In Range No Trades	In Range No Trades
9/12/1963 PENNWALT CORP PREF CONV \$2.50 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$60.000	No Trades	No Trades	No Trades	No Trades
9/14/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$100.750	No Trades	No Trades	No Trades	No Trades
9/14/1983 WASHINGTON NATL CORP PFD CONV \$2.50	\$51.250	\$51.000	\$48.500	Above	\$0.250
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.000	\$85.750	\$85.750	Below	\$0.750
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.250	\$85.750	\$85.750	Below	\$0.500
9/19/1983 BRISTOL MYERS CO PFD CONV \$2 9/21/1983 ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	\$82.750 \$113.250	No Trades \$115.875	No Trades \$114.000	No Trades Below	No Trades \$0.750
9/21/1983 HOUSEHOLD INTL INC PFD CONV \$ 2.80	\$113.230 \$46.375	\$46.250	\$46.000	Above	\$0.730
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.750	\$27.875	\$27.500	Below	\$0.750
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.875	\$27.875	\$27.500	Below	\$0.625
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	\$27.875	\$27.500	Below	\$0.500
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.125	\$27.875	\$27.500	Below	\$0.37
11/29/1983 TRW INC PREF SER 1 CONV \$4.40	\$172.250	\$171.000	\$171.000	Above	\$1.250
11/29/1983 TRW INC PREF SER 1 CONV \$4.40 12/2/1983 BRISTOL MYERS CO PFD CONV \$2	\$172.625 \$89.250	\$171.000 No Trades	\$171.000 No Trades	Above No Trades	\$1.625 No Trade
12/5/1983 HOUSEHOLD INTL INC PFD CONV \$2.50	\$46.500	\$46.000	\$45.750	Above	\$0.500
12/9/1983 AMERADA HESS CORP PFD CONV \$2.50	\$114.250	\$114.000	\$113.500	Above	\$0.250
12/15/1983 AVCO CORP PFD CONV \$3.20	\$62.750	\$64.625	\$64.500	Below	\$1.750
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.500	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.750	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.875	No Trades	No Trades	No Trades	No Trades
12/19/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$92.750	\$98.500	\$98.000	Below	\$5.250
12/22/1983 ETHYL CORP 2ND PFD SER A CONV \$2.40 12/22/1983 FMC CORP PFD CONV \$2.25	\$133.000 \$56.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.000	No Trades	No Trades	No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.500	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$86.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$88.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$89.500	No Trades	No Trades	No Trades	No Trades
2/29/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$254.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$111.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80 3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$48.125 \$48.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/1/1984 VIACOM INTL INC PFD CONV \$1.80	\$31.500	\$30.250	\$30.250	Above	\$1.250
3/5/1984 AMERADA HESS CORP PFD CONV \$3.50	\$138.500	\$137.500	\$137.500	Above	\$1.000
3/5/1984 OCCIDENTAL PETE CORP PFD CONV \$4	\$85.875	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$93.250	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35	\$93.250	\$93.000	\$93.000	Above	\$0.250
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$83.375	\$84.000	\$81.250	In Range	In Range
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$84.000	\$84.000	\$81.250	In Range	In Range
3/8/1984 FMC CORP PFD CONV \$2.25 3/8/1984 FMC CORP PFD CONV \$2.25	\$53.250 \$53.375	\$53.375 \$53.375	\$53.375 \$53.375	Below In Range	\$0.125 In Range
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.625	\$53.375	\$53.375	Above	\$0.250
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.875	\$53.375	\$53.375	Above	\$0.500
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.500	\$20.625	\$20.000	In Range	In Range
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.625	\$20.625	\$20.000	In Range	In Range
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$114.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.000	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.500 \$115.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20 3/15/1984 LEAR SIEGLER INC PFD CONV \$2.25	\$113.730 \$100.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/19/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38	\$57.250	\$58.500	\$57.750	Below	\$0.500
3/21/1984 SUN INC PFD CONV \$2.25	\$104.750	\$109.000	\$103.500	In Range	In Range
3/21/1984 SUN INC PFD CONV \$2.25	\$107.000	\$109.000	\$103.500	In Range	In Range
3/22/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.000	\$123.000	\$123.000	In Range	In Rang
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$56.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$57.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 WAL-MART STORES INC PFG SER A CONV 8% 7/11/1984 INTERNATIONAL HARVESTER CORRESER A CONV \$3	\$72.000 \$24.500	No Trades	No Trades	No Trades	No Trade
7/11/1984 INTERNATIONAL HARVESTER CO PREF SER A CONV \$3 7/31/1984 PITNEY BOWES INC PREF CONV \$2.12	\$24.500 \$57.125	No Trades \$56.250	No Trades \$56.000	No Trades Above	No Trade \$0.87
8/17/1984 KIDDE INC PREF SER C CONV \$4	\$73.000	\$73.000	\$73.000	In Range	In Rang
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$92.375	No Trades	No Trades	No Trades	No Trade
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$93.500	No Trades	No Trades	No Trades	No Trades
		No Trades	No Trades	No Trades	No Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$141.000	NO Trades	No Trades	No Trades	NO Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50	\$35.125 \$35.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade

Trade Date Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50	\$35.375	No Trades	No Trades	No Trades	No Trades
8/29/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35	\$105.000	\$108.250	\$108.000	Below	\$3.000
8/30/1984 SHELLER GLOBE CORP \$ 3 CV PFD	\$69.375	No Trades	No Trades	No Trades	No Trades
9/4/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35 9/5/1984 TRW INC PREF SER 1 CONV \$4.40	\$105.000 \$156.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
9/11/1984 HOUSEHOLD INTL INC PFD CONV \$2.50	\$48.500	No Trades	No Trades	No Trades	No Trades
9/11/1984 OWENS ILL INC PFD CONV \$4.75	\$124.750	No Trades	No Trades	No Trades	No Trades
9/12/1984 BRISTOL MYERS CO PFD CONV \$2	\$99.125	No Trades	No Trades	No Trades	No Trades
9/17/1984 HOUSEHOLD INTL INC PFD CONV \$2.50	\$48.500	\$49.750	\$49.750	Below	\$1.250
9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.250	\$124.000	\$124.000	Below	\$0.750
9/20/1984 INTERCO INC PFD SER D CONV \$7.75 9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.500 \$123.750	\$124.000 \$124.000	\$124.000 \$124.000	Below Below	\$0.500 \$0.250
9/20/1984 INTERCO INC FFD SER D CONV \$7.75 9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.730	\$124.000	\$124.000	In Range	In Range
9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$125.625	\$124.000	\$124.000	Above	\$1.625
11/16/1984 SHELLER GLOBE CORP \$3 CV PFD	\$86.500	No Trades	No Trades	No Trades	No Trades
11/29/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$72.625	\$72.000	\$72.000	Above	\$0.625
11/30/1984 AVCO CORP PFD CONV \$3.20	\$93.250	\$93.500	\$91.250	In Range	In Range
11/30/1984 AVCO CORP PFD CONV \$3.20	\$93.375	\$93.500	\$91.250	In Range	In Range
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.000	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2 11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.125 \$101.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.230	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.625	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.750	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.250	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.375	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.625	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$116.125 \$54.250	No Trades \$54.750	No Trades \$54.000	No Trades	No Trades
12/10/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38 12/10/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38	\$54.230 \$54.375	\$54.750	\$54.000	In Range In Range	In Range In Range
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.500	\$22.500	\$22.250	In Range	In Range
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.625	\$22.500	\$22.250	Above	\$0.125
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.750	\$22.500	\$22.250	Above	\$0.250
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.875	\$22.500	\$22.250	Above	\$0.375
12/11/1984 INTERCO INC PFD SER D CONV \$7.75	\$126.500	No Trades	No Trades	No Trades	No Trades
12/17/1984 TRW INC PREF SER 3 CONV \$4.50 12/17/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$128.375 \$52.375	No Trades \$53.000	No Trades \$51.000	No Trades In Range	No Trades
12/17/1984 ONITED STATES GTPSOM CO PFD CONV \$1.80	\$102.875	\$105.000	\$102.500	In Range	In Range In Range
12/19/1984 ATLANTIC RICHFIELD CO PFD CONV \$2.80	\$103.375	\$105.000	\$102.500	In Range	In Range
12/20/1984 INTL HARVESTER CO PREF SER A CONV \$3	\$30.750	\$31.500	\$30.625	In Range	In Range
2/25/1985 BELL & HOWELL CO PFD SER A CONV	\$28.875	\$28.750	\$28.750	Above	\$0.125
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.125	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.250	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.375	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50 2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.500 \$96.750	\$97.750 \$97.750	\$95.500 \$95.500	In Range In Range	In Range In Range
3/5/1985 USLIFE CORP PFD SER D CONV \$2.25	\$32.875	\$33.125	\$32.500	In Range	In Range
3/5/1985 USLIFE CORP PFD SER D CONV \$2.25	\$33.125	\$33.125	\$32.500	In Range	In Range
3/7/1985 INTL HARVESTER CO PREF SER A CONV \$3	\$38.750	\$39.000	\$38.750	In Range	In Range
3/7/1985 PIEDMONT AVIATION INC SUB DEB CONV 11.000 7/01/2007	\$131.000	\$132.500	\$131.000	In Range	In Range
3/7/1985 PIEDMONT AVIATION INC SUB DEB CONV 11.000 7/01/2007	\$131.125	\$132.500	\$131.000	In Range	In Range
3/8/1985 MARTIN MARIETTA CORP PFD CONV EXCHANGEABLE \$4.875	\$73.000 \$88.250	\$75.750 \$90.500	\$73.000	In Range	In Range
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75 3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.375	\$90.500	\$90.500 \$90.500	Below Below	\$2.250 \$2.125
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.625	\$90.500	\$90.500	Below	\$1.875
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.750	\$90.500	\$90.500	Below	\$1.750
3/19/1985 KATY INDS INC PFD SER B CONV \$1.46	\$95.750	\$99.500	\$90.000	In Range	In Range
3/20/1985 STORER COMMUNICATIONS INC SUB DEB CONV 8.500 12/31/2005	\$175.000	\$179.000	\$170.000	In Range	In Range
3/25/1985 LEAR SIEGLER INC PFD CONV \$2.25	\$115.625	\$116.250	\$116.250	Below	\$0.625
6/24/1985 BOEING CO SUB DEB CONV 8.875 6/15/2006	\$152.500	\$153.125	\$152.500	In Range	In Range
6/25/1985 CLUETT PEABODY & CO INC PFD CONV \$1 6/26/1985 CLUETT PEABODY & CO INC PFD CONV \$1	\$21.750 \$21.750	\$21.500 \$21.750	\$21.500 \$21.500	Above In Range	\$0.250
6/27/1985 FMC CORP PFD CONV \$2.25	\$21.750 \$82.625	\$21.750 \$81.500	\$21.500	In Range Above	In Range \$1.125
6/27/1985 FMC CORP PFD CONV \$2.25	\$83.250	\$81.500	\$81.500	Above	\$1.750
6/28/1985 OWENS ILL INC PFD CONV \$4.75	\$138.125	\$139.500	\$139.500	Below	\$1.375
6/28/1985 OWENS ILL INC PFD CONV \$4.75	\$138.250	\$139.500	\$139.500	Below	\$1.250
7/1/1985 FMC CORP PFD CONV \$2.25	\$82.625	\$85.000	\$85.000	Below	\$2.375
7/2/1985 BELL & HOWELL CO PFD SER A CONV	\$31.750	No Trades	No Trades	No Trades	No Trades
7/2/1985 INTERCO INC PFD SER D CONV \$7.75	\$140.250	No Trades	No Trades	No Trades	No Trades
7/2/1985 INTERCO INC PFD SER D CONV \$7.75	\$140.375	No Trades	No Trades	No Trades	No Trades

Trade Date	Security Name	IA Business Purported	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
7/2/1985 INTERCO IN	NC PFD SER D CONV \$7.75	Price <sup>2</sup> \$140.625	No Trades	No Trades	No Trades	No Trade
	YERS CO PFD CONV \$2	\$131.875	No Trades	No Trades	No Trades	No Trade
	YERS CO PFD CONV \$2	\$131.500	\$129.000	\$129.000	Above	\$2.50
	YERS CO PFD CONV \$2	\$131.875	\$129.000	\$129.000	Above	\$2.87
	ONAL HARVESTER CO JR PREF SER D CONV \$0	\$25.000	\$25.875	\$25.000	In Range	In Rang
	ONAL HARVESTER CO JR PREF SER D CONV \$0	\$25.250	\$25.875	\$25.000	In Range	In Rang
	COTTRELL INC SUB DEB CONV 10.500 10/31/2006 ATES GYPSUM CO PFD CONV \$1.80	\$136.250 \$75.000	\$137.500 No Trades	\$137.500 No Trades	Below No Trades	\$1.25 No Trade
	T AIRLS CO SUB DEB CONV 10.000 6/15/2007	\$154.000	\$156.000	\$156.000	Below	\$2.00
7/15/1985 SUN INC PF		\$99.250	\$100.000	\$100.000	Below	\$0.75
7/15/1985 SUN INC PF	D CONV \$2.25	\$99.375	\$100.000	\$100.000	Below	\$0.62
7/15/1985 SUN INC PF		\$99.625	\$100.000	\$100.000	Below	\$0.37
7/15/1985 SUN INC PF		\$100.500	\$100.000	\$100.000	Above	\$0.50
	REF SER 1 CONV \$4.40	\$166.000	No Trades	No Trades	No Trades No Trades	No Trade
	REF SER 1 CONV \$4.40 REF SER 1 CONV \$4.40	\$166.125 \$166.500	No Trades No Trades	No Trades No Trades	No Trades	No Trade No Trade
	REF SER 1 CONV \$4.40	\$167.500	No Trades	No Trades	No Trades	No Trade
	ONAL HARVESTER CO PREF SER A CONV \$3	\$34.375	\$37.000	\$35.000	Below	\$0.62
7/24/1985 TEXTRON I	NC SUB DEB 7.750 6/15/2005	\$111.000	\$112.000	\$111.500	Below	\$0.50
	YERS CO PFD CONV \$2	\$133.500	No Trades	No Trades	No Trades	No Trade
11/22/1985 TRW INC PI	·	\$142.125	No Trades	No Trades	No Trades	No Trade
	X CORP SUB DEB CONV 8.500 1/15/2008	\$114.000	\$116.750	\$116.750	Below	\$2.75
	X CORP SUB DEB CONV 8.500 1/15/2008 X CORP SUB DEB CONV 8.500 1/15/2008	\$114.200 \$114.425	\$116.750 \$116.750	\$116.750 \$116.750	Below Below	\$2.55 \$2.32
	EXPRESS COMPANY WTS EXP 02/28/1987	\$42.125	\$44.750	\$41.625	In Range	In Rang
	EXPRESS COMPANY WTS EXP 02/28/1987	\$42.625	\$44.750	\$41.625	In Range	In Rang
12/12/1985 FMC CORP		\$85.000	\$85.500	\$85.000	In Range	In Rang
12/13/1985 AMERICAN	GEN CORP PFD 2.64 CV	\$65.625	\$66.625	\$65.500	In Range	In Rang
	D INTL INC PFD CONV \$2.50	\$62.500	No Trades	No Trades	No Trades	No Trade
2/26/1986 CSX CORP I		\$205.000	No Trades	No Trades	No Trades	No Trade
	GEN CORP PFD 2.64 CV INTL GROUP INC PRD CL B CONV \$5.85	\$79.000 \$200.500	\$81.000 207	\$79.000 207	In Range Below	In Rang \$6.50
	INC PREF CONV \$4.75	\$187.500	No Trades	No Trades	No Trades	No Trade
	RICHFIELD CO PFD CONV \$2.80	\$120.500	\$120.000	\$120.000	Above	\$0.50
	INTL CORP PREF CONV \$1.20	\$28.125	\$29.250	\$28.875	Below	\$0.75
3/11/1986 SUN INC PF	D CONV \$2.25	\$100.750	\$101.500	\$100.000	In Range	In Rang
	D INTL INC PFD CONV \$2.375	\$101.000	No Trades	No Trades	No Trades	No Trade
	QUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$131.250	\$137.500	\$136.000	Below	\$4.75
	QUIPMENT CORP CONV SUB DEB 8.000 9/01/2009 QUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$131.337 \$132.000	\$137.500 \$137.500	\$136.000 \$136.000	Below Below	\$4.66 \$4.00
	DUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.325	\$137.500	\$136.000	Below	\$3.67
	DUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.662	\$137.500	\$136.000	Below	\$3.33
	QUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.987	\$137.500	\$136.000	Below	\$3.01
3/21/1986 DIGITAL EQ	QUIPMENT CORP CONV SUB DEB 8.000 9/01/2009	\$133.975	\$137.500	\$136.000	Below	\$2.02
	GEN CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.000	\$209.250	Below	\$4.25
	GEN CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.875	\$212.000	Below	\$7.00
	TH F W CO PFD SER A CONV \$2.20	\$120.750 \$167.125	No Trades No Trades	No Trades No Trades	No Trades	No Trade
11/16/1986 CSX CORP I	BBER INC PFD SER A CONV \$1.30	\$167.125 \$29.750	No Trades No Trades	No Trades	No Trades No Trades	No Trade No Trade
	ATES GYPSUM CO PFD CONV \$1.80	\$141.250	No Trades	No Trades	No Trades	No Trade
11/18/1986 CSX CORP I		\$167.125	No Trades	No Trades	No Trades	No Trade
	ATES GYPSUM CO PFD CONV \$1.80	\$141.250	No Trades	No Trades	No Trades	No Trade
	TH F W CO PFD SER A CONV \$2.20	\$120.375	123	\$122.500	Below	\$2.12
	TH F W CO PFD SER A CONV \$2.20	\$120.500	123	\$122.500	Below	\$2.00
	TH F W CO PFD SER A CONV \$2.20	\$120.750 \$121.125	123 123	\$122.500	Below Below	\$1.75 \$1.37
	TH F W CO PFD SER A CONV \$2.20 YERS CO PFD CONV \$2	\$121.125 \$157.875	No Trades	\$122.500 No Trades	No Trades	No Trade
11/19/1986 CSX CORP I		\$167.125	No Trades	No Trades	No Trades	No Trade
	LINTL CORP PFD SER A CONV \$4.75	\$405.250	No Trades	No Trades	No Trades	No Trade
11/19/1986 SUN INC PF	D CONV \$2.25	\$113.750	No Trades	No Trades	No Trades	No Trade
	M CORP 4TH PFD CONV \$1.60	\$77.625	No Trades	No Trades	No Trades	No Trade
	TH F W CO PFD SER A CONV \$2.20	\$120.500	\$120.000	\$120.000	Above	\$0.50
	RP PFD SER B CONV \$10	\$288.500	No Trades	No Trades	No Trades	No Trade
	NC PFD SER D CONV \$7.75 WELL CO PFD SER A CONV	\$185.375 \$35.750	No Trades \$36.125	No Trades \$35.750	No Trades In Range	No Trade In Rang
	WELL CO PFD SER A CONV	\$35.750	\$36.125	\$35.750	In Range	In Rang
	NEW YORK CORP PFD CONV \$1.875	\$42.750	\$43.000	\$43.000	Below	\$0.25
	NEW YORK CORP PFD CONV \$1.875	\$42.875	\$43.000	\$43.000	Below	\$0.12
	INC PREF CONV \$4.75	\$297.375	\$296.000	\$296.000	Above	\$1.37
12/15/1986 OWENS ILL	INC PREF CONV \$4.75	\$298.250	\$296.000	\$296.000	Above	\$2.25

Γrade Date	Security Name	IA Business Purported	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitud
12/19/1096 OLINIC	ORP SUB DEB CONV 8.750 6/01/2008	Price <sup>2</sup> \$110.000	No Trades	No Trades	No Trades	No Trad
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.000	No Trades	No Trades	No Trades	No Trac
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.375	No Trades	No Trades	No Trades	No Trac
	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$202.750	No Trades	No Trades	No Trades	No Trac
12/19/1986 AMERIC	CAN GEN CORP DEB CONV 11.00 2/8/2007	\$203.875	No Trades	No Trades	No Trades	No Trac
	A CORP DEPOSITARY PFD SER C CONV	\$31.750	\$32.000	\$31.750	In Range	In Rar
	AN & BROAD CONV PFD B \$8.75	\$115.625	\$113.500	\$112.000	Above	\$2.1
	GROUP INC SR SUB DEB CONV 8.750 9/15/2009 DNT AVIATION INC PFD CONV EXCHANGEABLE \$3.25	\$138.000	\$148.000	\$137.000 \$67.625	In Range	In Rai
	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$67.750 \$134.750	\$67.875 \$137.125	\$135.375	In Range Below	In Rai \$0.6
	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$136.000	\$137.125	\$135.375	In Range	In Rai
	CONTAINER CORP PFD SER C CONV EXB \$3.50	\$79.000	\$80.000	\$79.000	In Range	In Ra
3/24/1987 TRANS	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.750	\$61.000	\$59.250	In Range	In Ra
	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.875	\$61.000	\$59.250	In Range	In Ra
	CO COMPANIES INC CUM CONV PFD 3.875 SERIES	\$60.000	\$61.000	\$59.250	In Range	In Ra
	CCH CAP CORP \$3 CONV PFD	\$55.250	\$55.000	\$53.000	Above	\$0.2
	L MYERS CO PFD CONV \$2 L MYERS CO PFD CONV \$2	\$201.625 \$201.750	\$203.000 \$203.000	\$202.250 \$202.250	Below Below	\$0.6 \$0.5
	CORP PFD SER B CONV \$10	\$344.500	No Trades	No Trades	No Trades	No Tra
	CORP PFD SER B CONV \$10	\$345.750	No Trades	No Trades	No Trades	No Tra
	LLAN INC SUB DEB CONV 7.500 7/01/2010	\$121.000	\$128.750	\$128.750	Below	\$7.
5/20/1987 ANHEU	SER BUSCH COS INC PFD CONV \$3.60 SER A	\$116.000	\$119.375	\$115.500	In Range	In Ra
5/22/1987 UNISYS	CORP SUB DEB CONV 7.250 8/01/2010	\$141.000	\$144.500	\$143.000	Below	\$2.
	HOWELL CO PFD SER A CONV	\$65.750	\$66.000	\$65.000	In Range	In Ra
	O INC PFD SER D CONV \$7.75	\$133.750	No Trades	No Trades	No Trades	No Tra
	C PFD CONV \$2.25	\$100.500	No Trades	No Trades	No Trades	No Tra
	VORTH F W CO PFD SER A CONV \$2.20 O INC PFD SER D CONV \$7.75	\$112.375 \$176.250	\$112.000 No Trades	\$112.000 No Trades	Above No Trades	\$0 No Tra
	O INC PFD SER D CONV \$7.75 O INC PFD SER D CONV \$7.75	\$176.230	No Trades	No Trades	No Trades	No Tra
	O INC PFD SER D CONV \$7.75	\$170.373	No Trades	No Trades	No Trades	No Tra
	C PFD CONV \$2.25	\$117.625	No Trades	No Trades	No Trades	No Tra
	OOD CO DEB CONV 9.000 10/15/1999	\$275.000	No Trades	No Trades	No Trades	No Tra
3/22/1988 KELLW	OOD CO DEB CONV 9.000 10/15/1999	\$279.000	No Trades	No Trades	No Trades	No Tra
	E & COOKE INC PFD CONV \$0.90	\$24.500	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90	\$24.625	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90	\$24.750	\$25.250	\$24.500	In Range	In Ra
	E & COOKE INC PFD CONV \$0.90 SON CORP SUB DEB CONV 9.750 3/15/2006	\$25.000 \$141.000	\$25.500 No Trades	\$24.875 No Trades	In Range No Trades	In Ra No Tra
	TCH CORP PFD SER B CONV EX \$3.75	\$63.375	No Trades	No Trades	No Trades	No Tra
	DODGE CORP PFD CV EXP DEP \$3.00	\$73.250	\$73.750	\$73.000	In Range	In Ra
	ER COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.000	\$80.125	\$78.750	In Range	In Ra
3/17/1989 WARNE	ER COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.250	\$80.125	\$78.750	In Range	In Ra
3/17/1989 WARNI	R COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.750	\$80.125	\$78.750	In Range	In Ra
	R COMMUNICATIONS INC PED SER A CONV EXCH \$3.625	\$77.500	\$77.875	\$77.500	In Range	In Ra
	M A CO PFD CONV EXCHANGEABLE \$ 2.125	\$35.375	\$37.000	\$35.250	In Range	In Ra
6/5/1989 VISTA		\$20.875	\$22.250	\$20.750	In Range	In Ra
6/5/1989 VISTA ( 6/5/1989 VISTA (		\$21.000 \$21.375	\$22.250 \$22.250	\$20.750 \$20.750	In Range In Range	In Ra In Ra
6/5/1989 VISTA (		\$21.573	\$22.250	\$20.750	In Range	In Ra
6/12/1989 VISTA		\$22.250	\$22.375	\$22.250	In Range	In Ra
	CO INC PFD A 1.875	\$36.625	\$37.250	\$33.750	In Range	In Ra
11/20/1989 AMERIC	CAN BRANDS INC PFD CONV \$2.67	\$139.375	No Trades	No Trades	No Trades	No Tra
12/4/1989 FLEET/	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.500	No Trades	No Trades	No Trades	No Tra
	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.750	No Trades	No Trades	No Trades	No Tra
	NORSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$128.250	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3	\$751.000	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3	\$751.625 \$752.000	No Trades	No Trades	No Trades	No Tra
	TIC RICHFIELD CO PFD CONV \$3 RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$752.000 \$134.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Tra No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$134.750	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$135.000	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$135.500	No Trades	No Trades	No Trades	No Tra
	RNE FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$139.000	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.000	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.375	No Trades	No Trades	No Trades	No Tra
	LIDATED EDISON CO N Y INC PREF SER B CONV 6%	\$357.250	No Trades	No Trades	No Trades	No Tra
	CORP 2ND PFD SER A CONV \$2.40	\$563.500	No Trades	No Trades	No Trades	No Tra
	SON CORP SUB DEB CONV 9.750 3/15/2006 [ATIONAL MINERALS & CHEM SERIES B CONV EXCL PFD \$3.25	\$163.000 \$70.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Tra No Tra
2,20,1770 HT1ERI	MOTT INC DEB 10.000 4/01/2003	\$96.750	No Trades	No Trades	No Trades	No Tra

Trade Date	Security Name	IA Business Purported Price <sup>2</sup>	Daily High <sup>3</sup>	Daily Low <sup>3</sup>	Above/Below	Magnitude
3/5/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$2.625	\$2.875	\$2.500	In Range	In Range
3/12/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$3.500	\$3.750	\$3.375	In Range	In Range
3/12/1990 MCDERMC	OTT INTL INC WT EXP 04/01/1990	\$3.625	\$3.750	\$3.375	In Range	In Range
3/13/1990 MCDERMC	OTT INC DEB 10.000 4/01/2003	\$96.250	No Trades	No Trades	No Trades	No Trades
3/20/1990 ITT CORP I	PFD SER N CONV \$2.25	\$66.250	No Trades	No Trades	No Trades	No Trades
3/21/1990 PFIZER INC	C SUB DEB CONV 8.750 2/15/2006	\$203.750	No Trades	No Trades	No Trades	No Trades
10/2/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$313.500	\$317.000	\$315.000	Below	\$1.500
10/5/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$316.250	No Trades	No Trades	No Trades	No Trades
10/5/1990 ATLANTIC	RICHFIELD CO PFD CONV \$ 2.80	\$318.000	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	INC PFD CONV \$2.08	\$43.125	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	INC PFD CONV DIV STK SER B \$1.40	\$34.875	No Trades	No Trades	No Trades	No Trades
10/19/1990 TEXTRON	INC PFD CONV \$2.08	\$45.375	No Trades	No Trades	No Trades	No Trades
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$291.125	\$293.750	\$293.750	Below	\$2.625
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$291.250	\$293.750	\$293.750	Below	\$2.500
12/14/1990 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$292.250	\$293.750	\$293.750	Below	\$1.500
12/18/1990 FEDERAL N	NATIONAL MTG ASSN WT EXP 2/25/91	\$18.875	\$20.500	\$18.625	In Range	In Range
11/19/1991 ATLANTIC	RICHFIELD CO PFD CONV \$2.80	\$266.625	No Trades	No Trades	No Trades	No Trades
2/25/1992 LEGGETT 8	& PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.500	No Trades	No Trades	No Trades	No Trades
2/26/1992 LEGGETT 8	& PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.625	No Trades	No Trades	No Trades	No Trades
2/26/1992 TYCO TOY	S INC WTS EXP 6/07/1993	\$20.250	\$22.250	\$19.750	In Range	In Range
11/24/1992 XTRA COR	P PFD SER B CONV \$1.9375	\$36.750	\$38.000	\$36.500	In Range	In Range
12/14/1992 AMERICAN	N BRANDS INC PFD CONV \$2.67	\$169.500	No Trades	No Trades	No Trades	No Trades

<sup>1.</sup> The Monthly Time Period consists of the following months: October 1979, November 1979, every March and December from 1981-1992, and randomly selected months, other than March and December, between 1983 and 1992.

<sup>2.</sup> IA Business Purported Price as shown on the IA Business customer ledgers.

<sup>3.</sup> Daily High and Daily Low as reported by the New York Stock Exchange Daily Stock Records, Over the Counter Exchange Daily Stock Records, American Stock Exchange Daily Stock Record, Wall Street Journal New York Exchange Bonds, Moody's Industrial Manuals and Moody's Bank and Financial Manual.